

Docket No. 242028US8CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Shinichi ARAI, et al.

SERIAL NO: NEW CONTINUATION APPLICATION

GAU:

FILED: HEREWITH

EXAMINER:

FOR: LOW-DISPERSION OPTICAL FIBER AND OPTICAL TRANSMISSION SYSTEM USING THE LOW-DISPERSION OPTICAL FIBER

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references were made of record in parent application serial no. 09/983,616, filed October 25, 2001, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

☐ A check is attached in the amount required under 37 CFR §1.17(p).

☐ A credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.

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CERTIFICATION

☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

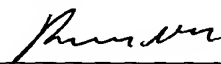
☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


Bradley D. Lytle

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 242028US8CONT		SERIAL NO. NEW CONT. APPLICATION	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Shinichi ARAI, et al.			
				FILING DATE HEREWITH		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,055,353	04-25-2000	AISO			
	AB	6,532,331	03-2003	KATO ET AL			
	AC	6,449,416	09-2002	LEE ET AL			
	AD	5,822,488	10-1998	TERASAWA ET AL			
	AE	5,732,178	03-1998	TERASAWA ET AL			
	AF	5,721,800	02-1998	KATO ET AL			
	AG						
	AH						
	AI						
	AJ						
	AK						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AL	WO99/30193	6-17-99	WIPO (ENGLISH ABSTRACT)			X
	AM	WO98/04941	02-05-98	WIPO	X		
	AN	11-84159	03-26-99	JAPAN (ENGLISH ABSTRACT)			X
	AO	EP 0 938 001	08-25-99	EUROPEAN PATENT	X		
	AP	WO00/70378	11-23-00	WIPO (ENGLISH ABSTRACT)			X
	AQ	WO00/63732	10-26-00	WIPO (ENGLISH ABSTRACT)			X
	AR	DE/97/2828A1	10/98	GERMANY			
	AS						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AT	NON-ZERO DISPERSION SHIFTED FIBER WITH LOW DISPERSION SLOPE; L. JIE ET AL, PROCEEDINGS OF APCC/OECC '99, OCTOBER 18-22, 1999, BEIJING, CHINA, pp. 1373-1374.					
	AU	DESIGN AND FABRICATION OF LOCALLY DISPERSION-FLATTENED LARGE EFFECTIVE AREA FIBERS; Y. LIU ET AL, ECOC '98, SEPTEMBER 22-24, 1998, MADRID, SPAIN, pp. 37-38					
	AV	PRACTICALLY FEASIBLE DISPERSION FLATTENED FIBERS PRODUCED BY VAD TECHNIQUE; Y. YOKOYAMA ET AL; ECOC '98, SEPTEMBER 22-24, 1998, MADRID, SPAIN, pp. 131-132					
	AW	MUKASA, U.S. PATENT APPLICATION PUBLICATION NO. U.S. 2003/0095768A1, PUBLISHED 5/22/2003.					
	AX	ARAI ET AL., U.S. PATENT APPLICATION PUBLICATION NO. U.S. 2002/0102085A1, PUBLISHED 8/12/2002.					
	AY	MUKASA, U.S. PATENT APPLICATION PUBLICATION NO. U.S. 2001/0021298A1, PUBLISHED 9/13/2001					
	AZ						<input type="checkbox"/> Additional References sheet(s) attached
Examiner					Date Considered		
<small>*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							